

IN THE UNITED STATES PATENT
AND TRADEMARK OFFICE

In re Application of: Joanne Sylvia Luciano

For: A Method for Predicting the Therapeutic Outcome of A Treatment for an Affective Disorder

DECLARATION OF SMALL ENTITY STATUS
AS AN INDEPENDENT INVENTOR

As the below named inventor, I hereby declare that:

I qualify as an independent inventor as defined in 37 CFR 1.9 (c) for the purposes of paying reduced fees, under 35 USC 41 (a) and (b), to the United States Patent and Trademark Office with regard to my above-identified invention described in the specification filed herewith. I have not assigned, granted, conveyed, or licensed -- and am under no obligation under any contract or law to assign, grant, convey, or license -- any rights in the invention to either (a) any person who could not be classified as an independent inventor under 37 CFR 1.9 (c) if that person had made the invention, or (b) any concern which would not qualify as either a small business concern under 37 CFR 1.9 (d) or a nonprofit organization under 37 CFR 1.9 (e).

I acknowledge a duty to file, in the above application for patent, notification of any change of status resulting in loss of entitlement to small entity status prior to paying, the earliest issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate (37 CFR 1.28 (b)).

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment or both, under Section 1001 of Title 18 of the United States Code, and that such willful and false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

Joanne Sylvia Luciano
Name

Date

March 20, 1998

09045734-03099

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COMBINED DECLARATION AND POWER OF ATTORNEY FOR PATENT APPLICATION

As the below named inventor, I hereby declare that:

My residence, post office address and citizenship, are as stated below next to my name.

I believe I am the original, first, and sole inventor of the subject matter which is claimed and for which a patent is sought on the above named inventions, the specification of which is attached hereto.

I hereby state that I have reviewed and understood the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, Section 1.56 (a).

I hereby claim the benefit under Title 35, United States Code Section 119 (e) of the United States Provisional Application Serial No. 60/041,287 filed on March 20, 1997.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements are made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

And I hereby appoint Sharon L. Day, Registration No. 39,162 my agent to prosecute this application and to transact all business in the Patent Office connected therewith., and I hereby specify the following as the correspondence address to which all communications about this application are to be directed: 9 Pleasant St., Sharon, MA 02067

Full Name of Sole Inventor Joanne Sylvia Luciano

Inventor's Signature Jeanne Sylvia Zucaro March 20, 1998
Date

Inventor's Residence 121 Auburn St., Cambridge, MA 02139-4057

Citizenship USA

Inventor's Post Office Address: same as residence